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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/762,579	01/23/2004	Jin Mizuguchi	1417-448



23117  
NIXON & VANDERHYE, PC  
1100 N GLEBE ROAD  
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ARLINGTON, VA 22201-4714

## CONFIRMATION NO. 3028 FORMALITIES LETTER



\*OC000000012455054\*

Date Mailed: 04/27/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/30/2004 SZEWDIE1 00000042 10762579

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 770.00 OP  
02 FC:1051 130.00 OP

*Filing Date Granted*

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- \$770** Statutory basic filing fee.
- \$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*AB*

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

MIZUGUCHI, J. et al.

Serial No. 10/762,579

Filed: January 23, 2004

For: BLACK PERYLENE-BASED PIGMENT AND PROCESS FOR PRODUCING THE SAME

**Mail Stop Missing Parts**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

The attached completes filing of the above-identified patent application:

- Correspondence Address Indication Form Attached.**
- Signed Rule 63 Declaration alone,  Copy of Declaration from prior application alone, OR
- Signed Declaration plus attached copy of originally filed specification/drawings.
- NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.
- Record the attached assignment to Toda Kogyo Corporation, Hiroshima-shi, Hiroshima-ken, and Yokohama TLO Company, Ltd., Kanagawa-ken, Japan, and return to the undersigned.
- Attached is a Power of Attorney.

- Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

<b>Application Number</b>	<b>Country</b>	<b>Day/Month/Year Filed</b>
2001-227693	JP	27 July 2001
PCT/JP02/07603		26 July 2002

respectively.

- Certified copy(ies) of foreign application(s) is/are attached.
- Certified copy(ies) filed on \_\_\_\_\_ in prior application no. \_\_\_\_\_, filed \_\_\_\_\_.
- Applicant claims "small entity" status.  "Small entity" statement attached.
- Please enter the attached preliminary amendment prior to calculation of filing fee.
- Also attached:  **Information Disclosure Statement**;  **Nucleotide and/or Amino Acid Sequence Submission**;  Other:

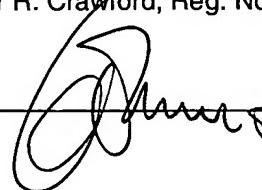
**Fees due are calculated below:**

Basic filing fee	\$	770.00
Total Effective claims 4 - 20 = 0 x \$ 18.00	\$	0.00
Independent claims 2 - 3 = 0 x \$ 86.00	\$	0.00
If any proper multiple dependent claims now added for first time, add \$290.00 (ignore improper)	\$	0.00
<b>FILING FEE</b>	\$	770.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months; \$1480.00/4 months)	\$	420.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted	\$	130.00
English translation of specification and claims (\$130.00)	\$	0.00
<b>FIRST SUBTOTAL</b>	\$	1,320.00
If "small entity," enter half (½) of subtotal and subtract	\$	0.00
<b>SECOND SUBTOTAL</b>	\$	1,320.00
Assignment Recording Fee (\$40.00)	\$	40.00
<b>TOTAL FEE ENCLOSED</b>	\$	1,360.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
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ARC:eaw

NIXON & VANDERHYE P.C.  
By Atty: Arthur R. Crawford, Reg. No. 25,327

Signature: 

2008/30/2004 SZENDEE# 0000042 10762579

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03 FC:1252

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